



# Anti-FXa-IIa activity test in Asian and its potential role for drug adherence evaluation in patients with direct oral anticoagulants: a nationwide multi-center synchronization study

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**Background:** The data of anti-FXa-IIa activity detection in Asian population is insufficient, and its potential role for drug adherence evaluation in patients with direct oral anticoagulants (DOACs) remains unclear. This study carried out multi-center anti-FXa-IIa activity detection in Asian, aiming to explore its applicability in Asian population and find its role in adherence evaluation.

**Methods:** We assessed patients' self-reported adherence using the Morisky, Green, and Levine Adherence Scale (MGLS) from six hospitals. Plasma samples were collected for peak and trough concentration determination, and anti-FXa-IIa chromogenic assay was conducted using rivaroxaban/dabigatran calibrators and controls. Multivariate logistic regression models, covariate adjustment and spearman's two-tailed test were conducted in the data analysis. This study had been registered in clinical trials (NCT03666962).

**Results:** In total, 271 patients taking rivaroxaban (n=149) or dabigatran (n=122) were enrolled. Among the 271 patients assessed by MGLS questionnaire, 188 persons (69.4%) showed high adherence, 77 persons (28.4%) was in intermediate adherence group, and only 6 patients (2.2%) had low adherence. Patients are more adherent dosed once daily of rivaroxaban compared to twice daily of dabigatran: 75.6% *vs.* 63.6%. Anti-FXa-IIa activity had good linear correlation with routine coagulation indexes ( $P<0.001$ ), but no significant association was found between drug adherence and anti-FXa-IIa activity ( $P>0.05$ ).

**Conclusions:** This study confirms that anti-FXa-IIa activity detection based on target drug calibrations can be used as an effective index for pharmacodynamic evaluation in Asian population, but had limited value in drug adherence evaluation for DOACs. As the limited samples, these findings could serve as a hypothesis-generating effort, and should be validated in further studies with larger sample sizes.

**Keywords:** Direct oral anticoagulants (DOACs); anti-FXa-IIa activity; drug adherence

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## Introduction

Venous thromboembolism (VTE), and stroke in patients with atrial fibrillation remain a worldwide leading cause of morbidity and mortality (1). Anticoagulant therapy plays an important role in prevention and treatment of VTE, and prevention of stroke. The direct oral anticoagulants (DOACs), oral direct inhibitors of both thrombin and factor Xa are convenient for not requiring routine coagulation monitoring and are shown to be safe and effective for the primary and secondary preventions of stroke and systemic embolism (SE) in patients with non-valvular atrial fibrillation (NVAf) (2,3).

Although DOACs' compliance is better than warfarin, there are still many individual differences in clinical application (4-6). DOACs have the characteristics of fast metabolism and short half-life, forgetting to take drugs after repeated administration will have great influence on its blood concentration (7-9). Drug adherence is defined as the patient chooses to appropriately follow prescriber's recommendations concerning medication intake (10), of which importance as a pivotal issue in medical management had increased (11). Lots of phase III random clinical trials of DOACs reported discontinuation rates ranged from 18% to 35% (2,12). Lower adherence to dabigatran was found to be associated with higher risk of mortality and stroke [hazard ratio (HR) =1.07] (13).

Thus, tools to evaluate medication adherence in some specific situations are needed to ensure efficacy and safety in patients treated with DOACs (14). The proportion of days covered (PDC), the Morisky Medication Adherence Scale, and drug concentrations are available method to assess adherence (15-17). Adherence scales have the potential to explore these aspects of adherence, however, there is a great subjective bias in self-report, and the objective evaluation index is more reliable in clinical application. Anti-FXa-IIa activity presents a good correlation with drug concentration ( $r=0.98$ ,  $P<0.001$ ) (18), and is recommended for qualitative assessment of DOACs in the 2018 international council for standardization in hematology (ICSH) (19). However, its potential role for drug adherence evaluation in patients with DOACs remains unclear in China, and few hospitals conduct anti-FXa-IIa activity assay.

Stated thus, the data of anti-FXa-IIa activity detection in Asian population is insufficient, and its potential role for drug adherence evaluation in patients with DOACs remains unclear. This study carried out multi-center anti-FXa-IIa

activity detection, aimed to explore its applicability in Asian population and find its role in adherence evaluation.

We present the following article in accordance with the MDAR reporting checklist (available at <http://dx.doi.org/10.21037/cdt-20-564>).

## Methods

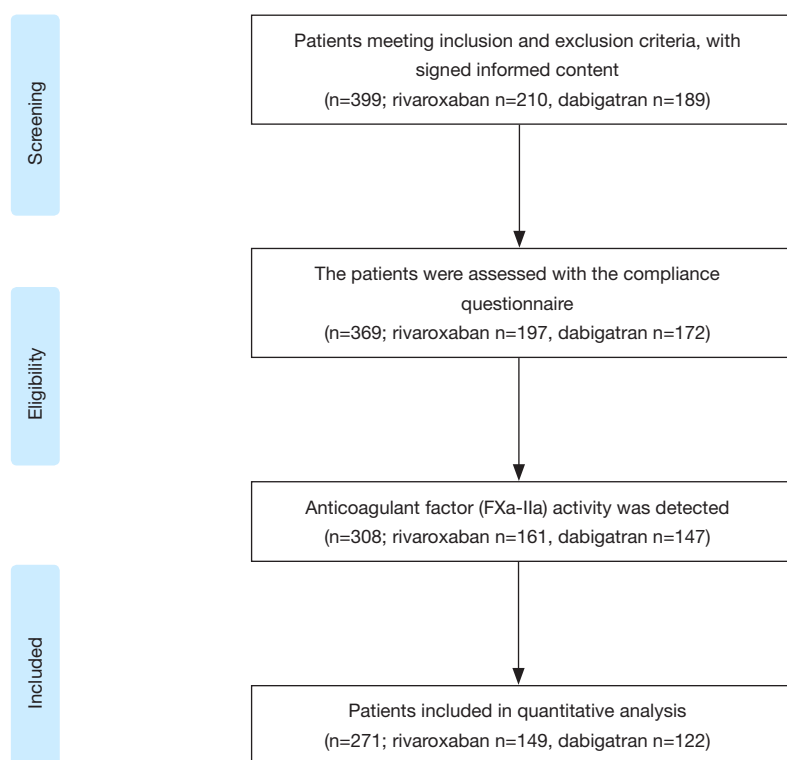
### Population

We launched a nationwide multi-center synchronization study among six hospitals. Patients meeting the following inclusion criteria will be included in the study: (I) dabigatran or rivaroxaban was used for prevention of stroke and SE in adult patients with NVAf; (II) age >18 years old, unlimited for gender; (III) patients were conscious and able to understand and answer questions. Baseline data were recorded when patients were enrolled. The thromboembolic and bleeding risk for each patient was calculated using CHA<sub>2</sub>DS<sub>2</sub>-VASc and HAS-BLED score. Patients will be grouped according to the compliance assessment results. The screening flow chart of the study population is shown in *Figure 1*.

The research was conducted in adherence with the Declaration of Helsinki (64th WMA General Assembly, Fortaleza, Brazil, October 2013), and the Harmonized Tripartite Guideline for Good Clinical Practice from the International Conference on Harmonization. The multicenter study protocol was reviewed and approved by the Ethical Review Board of the Peking University First Hospital [approval number 2018[136]], and was registered in clinical trials (NCT03666962). All patients enrolled gave their written informed consent prior to participation in the study.

### Drug adherence assessment

The Morisky, Green, and Levine Adherence Scale (MGLS) was used to evaluate the medication adherence of dabigatran and rivaroxaban. Details of the MGLS questionnaire are given in *Table S1*. In MGLS scale, scores ranged from 0 to 4 and each of the four items was in a (yes/no) format. One point was scored for each positive response and zero points were given for a "no" answer. Thus, the lower the score, the higher adherence. Patients' adherence can be divided into three groups: a score of 0 indicated high adherence; a score of 1 or 2 illustrated intermediate adherence; and a score of



**Figure 1** The screening flow chart of the study population.

3 or 4 indicated low adherence (20).

### **Plasma sample collection and detection**

Plasma samples were collected for determination of peak and trough concentration. A plasma sample obtained >10 h after the previous dabigatran dose or >22 h after the previous rivaroxaban dose was considered for trough concentration. In this study, blood samples collected within average 2.19 h after dabigatran dose or 3.06 h after rivaroxaban dose intake were considered for peak concentration.

The anti-FXa-IIa chromogenic assay used rivaroxaban or dabigatran calibrators and controls (BIOPHEN Rivaroxaban/Dabigatran® Calibrator and Control, HYPHEN BioMed, Neuville sur Oise, France). All coagulation assays and dedicated tests based on anti-FXa-IIa activity were performed using the Sysmex® CS-2100i (Sysmex, Kobe, Japan) instrument with a validated application. Coagulation monitoring indexes, including activated partial thromboplastin time (APTT), prothrombin time (PT) were also detected for patients involved.

### **Statistical analysis**

Statistical analyses were performed using the SPSS software, version 22.0 (SPSS Inc., Chicago, IL, USA). Numbers are presented as mean  $\pm$  standard deviation (SD) for continuous variables and as percentage for categorical variables in the tables. Associations between adherence groups and baseline patient characteristics were assessed using multivariate logistic regression models in the dabigatran and rivaroxaban sub-cohorts. Correlation between anti-FXa-IIa activity and medication adherence was tested by Spearman's two-tailed test. Covariate adjustment of dosage and baseline was conducted using binary logistic analysis. Different doses were divided into groups, and logistics analysis was conducted in the form of covariates. The P value of 0.05 was considered significant in the study.

## **Results**

### **Demographic characteristics**

In total, 271 patients taking rivaroxaban (n=149) or dabigatran (n=122) were enrolled in the study. According

**Table 1** Baseline demographics and clinical characteristics of the study population

Variables	High adherence			Intermediate adherence			Low adherence		
	N	Mean $\pm$ SD or %	95% CI	N	Mean $\pm$ SD or %	95% CI	N	Mean $\pm$ SD or %	95% CI
Gender (male, %)	112	41.3	–	46	17.0	–	3	1.10	–
Age (years)	188	69.8 $\pm$ 10.2	68.4–71.3	77	67.8 $\pm$ 11.5	65.2–70.4	6	61.5 $\pm$ 21.4	39.1–83.9
BMI (kg/m <sup>2</sup> )	185	25.4 $\pm$ 3.4	24.9–25.9	75	25.8 $\pm$ 3.7	24.9–26.6	6	28.9 $\pm$ 10.0	18.4–39.4
Education (university, %)	61	22.5	–	24	8.86	–	2	0.70	–
CHA <sub>2</sub> DS <sub>2</sub> -VASc	182	3.60 $\pm$ 1.75	3.34–3.85	74	3.31 $\pm$ 1.45	2.97–3.65	6	3.00 $\pm$ 2.10	0.80–5.20
HAS-BLED	182	2.01 $\pm$ 1.10	1.84–2.17	74	1.92 $\pm$ 0.89	1.71–2.12	6	1.33 $\pm$ 1.51	–0.25 to 2.91
Treatment duration (months)	186	9.87 $\pm$ 8.88	8.58–11.20	74	10.40 $\pm$ 7.59	8.64–12.20	6	12.20 $\pm$ 6.94	4.88–19.50
Cr ( $\mu$ mol/L)	183	81.7 $\pm$ 21.4	78.6–84.8	73	81.2 $\pm$ 21.3	76.2–86.1	6	94.6 $\pm$ 12.2	81.8–107.4
ALT (IU/L)	180	22.0 $\pm$ 14.3	19.9–24.1	72	23.5 $\pm$ 13.6	20.3–26.7	6	21.5 $\pm$ 8.9	12.2–30.8
AST (IU/L)	181	23.2 $\pm$ 11.9	21.5–25.0	72	23.5 $\pm$ 12.6	20.5–26.4	6	24.3 $\pm$ 7.2	16.8–31.9
ALP (IU/L)	170	71.1 $\pm$ 26.7	67.1–75.2	70	68.9 $\pm$ 23.0	63.5–74.4	6	70.3 $\pm$ 16.7	52.8–87.8
TBIL ( $\mu$ mol/L)	179	13.9 $\pm$ 6.8	12.9–14.9	70	15.6 $\pm$ 7.9	13.7–17.5	6	19.5 $\pm$ 20.8	–2.3 to 41.4
DBIL ( $\mu$ mol/L)	172	4.00 $\pm$ 2.66	3.60–4.40	68	4.40 $\pm$ 3.40	3.60–5.26	6	4.90 $\pm$ 4.82	–0.16 to 9.95

CHA<sub>2</sub>DS<sub>2</sub>-VASc: congestive heart failure, hypertension, 75 years of age and older, diabetes mellitus, previous stroke or transient ischemic attack, vascular disease, 65 to 74 years of age, female; HAS-BLED: hypertension, abnormal renal/liver function, stroke, bleeding history or predisposition, labile international normalized ratio, elderly, drugs/alcohol concomitantly. CI, confidence interval; BMI, body mass index; Cr, creatinine; ALT, alanine aminotransferase; AST, aspartate transaminase; ALP, alkaline phosphatase; TBIL, total bilirubin; DBIL, direct bilirubin; SD, standard deviation.

to the scores of MGLS, patients were divided into three groups: high, intermediate and low adherence group. Baseline demographics and clinical characteristics of the study population are provided in *Table 1*. The total population average age was 69.1 $\pm$ 10.9 years old, body mass index (BMI) was 25.60 $\pm$ 3.76 kg/m<sup>2</sup>, and the CHA<sub>2</sub>DS<sub>2</sub>-VASc scores/HAS-BLED scores are 3.50 $\pm$ 1.68, 1.97 $\pm$ 1.06 respectively. Associations between adherence groups and baseline patient characteristics were assessed using multivariate logistic regression models in the dabigatran and rivaroxaban sub-cohorts (*Table S2*). All patients had taken dabigatran or rivaroxaban for at least 1 month before initiation of the study. Blood was collected from patients receiving dabigatran 110 mg twice daily or rivaroxaban 20 or 15 mg once daily, and mean treatment duration was 10.10 $\pm$ 8.48 months in the study.

### Adherence assessment results

Among the 271 patients assessed, 188 persons (69.4%)

showed high adherence, 77 persons (28.4%) was in intermediate adherence group, and only 6 patients (2.2%) had low adherence. Patients were more adherent dosed once daily compared to twice daily: high adherence of patients with rivaroxaban once daily dosing accounted for 75.6%, with only 63.6% was found in dabigatran twice daily regimen ( $P=0.005$ ). No low adherence patients were found in rivaroxaban once daily dosing regimen.

### Anti-FXa-IIa activity result

Association between anti-FXa-IIa activity and coagulation indexes in peak and trough concentration was explored. In this study, APTT and PT are the main coagulation indicators, because TT is easy to exceed the detection limit. APTT and PT had good correlation with anti-FXa-IIa activity ( $P<0.001$ , *Table 2*), indicating that anti-FXa-IIa activity can be an effect indexes of drug assessment.

Anti-FXa-IIa activity among different adherence

**Table 2** Association between anti-FXa-IIa activities and anticoagulation indexes in peak and trough concentration

Anti-FXa-IIa activities	APTT	PT
Rivaroxaban anti-Xa-peak		
r	0.640**	0.696**
P	$5.95 \times 10^{-18}$	$4.18 \times 10^{-22}$
N	144	144
Rivaroxaban anti-Xa-trough		
r	0.368**	0.436**
P	$1.11 \times 10^{-5}$	$1.25 \times 10^{-7}$
N	135	135
Dabigatran anti-IIa-peak		
r	0.630**	0.572**
P	$1.63 \times 10^{-14}$	$1.07 \times 10^{-11}$
N	119	119
Dabigatran anti-IIa-trough		
r	0.601**	0.432**
P	$8.23 \times 10^{-13}$	$1.19 \times 10^{-6}$
N	117	117

\*\*, correlation is significant at the 0.01 level (2-tailed); \*, correlation is significant at the 0.05 level (two-tailed). APTT, activated partial thromboplastin time; PT, prothrombin time; INR, international normalized ratio; TT, thrombin time; r, correlation coefficient.

groups in peak and trough concentration are summarized in *Table 3*. For rivaroxaban users, anti-FXa peak activities of 107 patients with good adherence was  $269.10 \pm 12.20$  ng/mL, while intermediate adherence was  $244.00 \pm 19.60$  ng/mL. The anti-FXa trough activities in rivaroxaban patients were similar:  $46.50 \pm 3.99$  vs.  $45.20 \pm 5.74$  ng/mL for high and intermediate adherence group. Dabigatran patients in the low adherence group had abnormal anti-FIIa trough activities ( $70.10 \pm 33.70$  vs.  $66.60 \pm 10.00$  ng/mL, higher than intermediate group patients), which might own to limited samples and greatly changed data. However, no significant association was found between drug adherence and anti-FXa-IIa peak and trough activities ( $P > 0.05$ , *Table 3*).

In the baseline comparison, CHA<sub>2</sub>DS<sub>2</sub>-VASc and HAS-BLED scores were found significantly different among different adherence groups in dabigatran patients. Thus, covariate adjustment of baseline and dosage was conducted using binary logistic analysis. No significant association was found between anti-FIIa peak/trough activities and drug adherence after adjustment ( $P = 0.718, 0.962$ , *Table 4*). After dose adjustment, anti-FXa activity of high adherence and intermediate groups were  $269.10 \pm 12.10$  vs.

$244.10 \pm 20.60$  ng/mL in peak concentration, and  $46.10 \pm 3.80$  vs.  $46.50 \pm 6.98$  ng/mL in trough concentration, without significant statistical difference found ( $P = 0.576$  and  $0.250$ , *Table 4*).

## Discussion

### Main findings

This study confirms that anti-FXa-IIa activity detection based on target drug calibrations can be used as an effective index for pharmacodynamic evaluation in Asian population, at the same time, as an objective index, it is a good supplement to the compliance evaluation.

### Adherence on DOACs

The absence of a need for routine plasma level monitoring means that DOACs patients are likely to be less frequently seen for follow-up compared with vitamin K antagonist patients. In our survey, most patients thought themselves had high adherence (86.3%). However, only 69.4% person

**Table 3** Anti-FXa-IIa activities among different adherence groups in peak and trough concentration

Anti-FXa-IIa activities	MGLS	N	Mean	SD	95% CI	P
Rivaroxaban anti-Xa-peak	High adherence	107	269.1	12.2	244.8–293.3	–
	Intermediate adherence	37	244.0	19.6	204.4–283.7	–
	Total	144	262.6	10.4	242.1–283.2	0.294
Rivaroxaban anti-Xa-trough	High adherence	104	46.5	4.0	38.6–54.4	–
	Intermediate adherence	31	45.2	5.7	33.5–56.9	–
	Total	135	46.2	3.3	39.6–52.8	0.870
Dabigatran anti-IIa-peak	High adherence	76	156.9	14.3	128.5–185.4	–
	Intermediate adherence	37	144.9	15.2	114.1–175.7	–
	Low adherence	6	188.4	79.9	–17.1 to 394.0	–
	Total	119	154.8	10.9	133.2–176.4	0.688
Dabigatran anti-IIa-trough	High adherence	74	70.2	6.9	56.5–83.9	–
	Intermediate adherence	37	66.6	10.0	46.3–86.9	–
	Low adherence	6	70.1	33.7	–16.5 to 156.7	–
	Total	117	69.0	5.6	58.0–80.0	0.957

Unit of anti-FXa-IIa activities: ng/mL. MGLS, Morisky, Green, and Levine Adherence Scale; CI, confidence interval; SD, standard deviation.

**Table 4** Anti-FX/IIa activities among different adherence groups after adjustment in peak and trough concentration

Anti-FXa-IIa activities	MGLS	N	Mean	SD	95% CI	P
Anti-FXa activities-peak*	High adherence	107	269.1	12.1	245.1–292.9	0.576
	Intermediate adherence	37	244.1	20.6	203.3–284.8	
Anti-FXa activities-trough*	High adherence	104	46.1	3.8	38.6–53.6	0.250
	Intermediate adherence	31	46.5	7.0	32.7–60.3	
Anti-FIIa activities-peak <sup>#</sup>	High adherence	76	156.1	14.1	128.1–184.0	0.718
	Intermediate adherence	36	147.2	20.6	106.4–188.0	
	Low adherence	6	190.5	50.4	90.7–290.3	
Anti-FIIa activities-trough <sup>#</sup>	High adherence	74	68.3	7.17	54.1–82.5	0.962
	Intermediate adherence	37	69.3	10.2	49.3–89.5	
	Low adherence	6	75.7	25.2	25.8–125.6	

\*, covariates appearing in the model are evaluated by dose; <sup>#</sup>, covariates appearing in the model are evaluated by CHA2DS2-VAS scores, and HAS-BLED scores. Unit of anti-FXa activities: ng/mL. MGLS, Morisky, Green, and Levine Adherence Scale; CI, confidence interval; SD, standard deviation.

**Table 5** Available real-world data that suggested patients' adherence to DOACs

Study	Drugs	Methods	Patients included	Adherence percent (%)	Non-adherence percent (%)	Factors and outcomes associated with adherence
Emren, 2018 (21)	DOACs	8-item Morisky Medication Adherence	2,738	49.01	50.99	Non-adherence had related to stroke (5.6% vs. 2.5%, $P<0.001$ ) and minor (21.2% vs. 11.1%, $P<0.001$ ) and major (6.1% vs. 3.7%, $P=0.004$ ) bleeding rates
Beyer-Westendorf, 2015 (22)	Rivaroxaban	Self-report	1,204	81.48	18.52	Most common reasons for treatment discontinuations were bleeding complications (30%), other side effects (24.2%) and diagnosis of stable sinus rhythm (9.9%)
Zalesak, 2013 (23)	Dabigatran	Self-report	3,370	74.07	25.93	Patients with a low-to-moderate risk of stroke or with a higher bleed risk had a higher likelihood of non-persistence (HR, 1.37; $P<0.001$ ; and HR, 1.24; $P=0.016$ )
Gorst-Rasmussen, 2015 (24)	Dabigatran	PDC	2,960	76.79	23.21	Patients with a CHA <sub>2</sub> DS <sub>2</sub> -VASc score $\geq 2$ were more adherent to medication regimes than patients with a score of 1 (PDC ratio, 1.12) and generally patients with higher morbidity showed more adherence
Yao, 2016 (25)	DOACs	PDC	26,471	47.50	52.50	Adherence to therapy appears to be most important in patients with CHA <sub>2</sub> DS <sub>2</sub> -VASc score $\geq 2$ , whereas the benefits of anticoagulation may not outweigh the harms in patients with CHA <sub>2</sub> DS <sub>2</sub> -VASc score 0 or 1
Shore, 2015 (26)	Dabigatran	PDC	5,376	72.21	27.79	The proportion of adherent patients was higher at sites performing appropriate selection (75% vs. 69%), education (76% vs. 66%), and monitoring (77% vs. 65%)
Brown, 2016 (27)	DOACs	PDC	4,066	60.82	39.18	Rivaroxaban and apixaban had favorable profiles compared with dabigatran, and rivaroxaban appeared to have higher overall adherence among the DOACs
Sørensen, 2017 (28)	DOACs	PDC	19,952	43.34	56.66	Poor adherence of DOACs for both short- and long-periods leaves the patient at higher risk of thrombosis
Schulman, 2013 (15)	Dabigatran	PDC	103	88.35	11.65	Routine feedback from the pharmacies could inform the physician to improve the anticoagulant management

DOACs, direct oral anticoagulant; HR, hazard ratio; PDC, proportion of days covered.

showed high adherence among the 271 patients assessed by MGLS questionnaire, suggesting that authenticity of self-reported still needs to be verified. Available real-world data suggested adherence to DOACs ranged from 43% to 88% depending on the setting and definition (21-28), as are summarized in *Table 5*. Emren *et al.* [2018] (21) found

that non-adherence had related to stroke (5.6% vs. 2.5%,  $P<0.001$ ) and minor (21.2% vs. 11.1%,  $P<0.001$ ) and major (6.1% vs. 3.7%,  $P=0.004$ ) bleeding rates than adherences. Patient education on the need for oral anticoagulation therapy and the importance of strict adherence is still important (29-31).

### DOACs monitor

Many technological aids and approaches are employed to enhance adherence: a patient anticoagulation card, group sessions, the day-marked blister pack format; medication boxes (conventional or with electronic verification of intake); smartphone applications with reminders and/or SMS messages to alert the patient about the next intake some even requiring confirmation that the dose has been taken (32). Each method with specific advantages and limitations, as was described by Vrijens *et al.* (33). As most patients treated with DOAC were elderly persons ( $69.1 \pm 10.9$  years old), they were not good at using electronic devices to remind themselves. Elders tend to forget things easily, thus adherence becomes hard to guarantee. In this case, effective methods of assessing adherence are very important for drug treatment and disease management.

Anti-FXa-IIa activity has good linear correlation with routine coagulation indexes ( $P < 0.001$ ), indicating that anti-FXa-IIa activity can be employed for the rapid assessment (only 5 min) of dabigatran or rivaroxaban's anticoagulant activity in Asian population. For dabigatran users, the mean peak anti-FXa-IIa activity was  $154.8 \pm 10.9$  ng/mL in the study. Moreover, inter-laboratory coefficient of variations and biases were found below 18% and 8% for dabigatran/rivaroxaban calibrated assays (Hyphen-Biomed) in 30 hemostasis laboratories (34), thus, this result can also be used as a reference for different laboratory tests in Asian area. Rapid and accurate laboratory assessment of drug exposure and anticoagulant effect may help clinicians in emergencies as well as in special situations (35,36). For patients with multiple factors that interfere with the pharmacokinetics of a given DOAC (e.g., uncontrolled cancer patients receiving therapy for malignancies), anti-FXa-IIa activity assay could be to verify that plasma levels are within the "on treatment" range, considered the different "on therapy" range for samples taken at peak or at trough levels (37).

### Clinical consideration

Adherence scales have the potential to explore these aspects of adherence, however, there is a great subjective bias in self-report, and the objective evaluation index is more reliable in clinical application. Anti-FXa-IIa activity presents a good correlation with drug concentration, and is recommended for qualitative assessment of DOACs in the 2019 ICSH. As a hypothesis-generating effort, this study suggested that anti-FXa-IIa activity detection had

limited value in drug adherence evaluation for DOACs with the limited samples. However, as an objective index, anti-FXa-IIa activity detection can be a good supplement to the compliance evaluation.

### Strengths and limitations

As a preliminary exploration, we included 271 patients using DOACs to carry out multi-center anti-FXa-IIa activity detection, aimed to explore its applicability in Asian population and find its role in adherence evaluation.

There are several limitations in the study. (I) Owing to the inclusion criteria and exclusion criteria of the study in hospital, the number of enrolled patients was limited. (II) The study only carried out a blood sample collection and detection of patients after medication. (III) Other recommended indexes of laboratory monitoring, such as diluted thrombin time (dTT), ecarin clotting time (ECT) for dabigatran etc. were not including in this study.

### Conclusions

This study confirms that anti-FXa-IIa activity detection based on target drug calibrations can be used as an effective index for pharmacodynamic evaluation in Asian population, but had limited value in drug adherence evaluation for DOACs. As the limited samples, these findings could serve as a hypothesis-generating effort, and should be validated in further studies with larger sample sizes.

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### Footnote

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**Ethical Statement:** The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The research was conducted in adherence with the Declaration of Helsinki (64th WMA General Assembly, Fortaleza, Brazil, October 2013), and the Harmonized Tripartite Guideline for Good Clinical Practice from the International Conference on Harmonization. The multicenter study protocol was reviewed and approved by the Ethical Review Board of the Peking University First Hospital [approval number 2018[136]], and was registered in clinical trials (NCT03666962). All patients enrolled gave their written informed consent prior to participation in the study.

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**Table S1** Details of the Morisky, Green, and Levine Adherence Scale (MGLS) questionnaire

Number	Questions	Answers
1	Do you ever forget to take your NOACs?	Yes or no
2	Do you ever have problems remembering to take your NOACs?	Yes or no
3	When you feel better, do you sometimes stop taking your NOACs?	Yes or no
4	Sometimes if you feel worse when you take your NOACs, do you stop taking it?	Yes or no

In this scale, scores gained from the MGLS ranged from 0 to 4 and each of the four items was in a (yes/no) format. One point was scored for each positive response, one point was given for a “yes” answer, and zero points were given for a “no” answer. So, the lower the score, the more adherence, since the four questions were negatively coded items. A score of 0 indicated high adherence; a score of 1 or 2 illustrated intermediate adherence; and a score of 3 or 4 indicated low adherence.

**Table S2** Baseline demographics and clinical characteristics of population

Variables	High Adherence			Intermediate adherence			Low adherence			P
	N	Mean ± SD or %	95% CI	N	Mean ± SD or %	95% CI	N	Mean ± SD or %	95% CI	
Dabigatran population										
Gender (male, %)	76	38.5	–	40	22.1	–	6	2.5	–	0.161
Age (years)	76	69.3±9.4	67.0–71.6	40	64.9±10.9	61.4–68.4	6	61.5±21.4	39.1–83.9	0.447
BMI (kg/m²)	74	25.7±3.3	24.9–26.4	38	25.6±2.7	24.7–26.4	6	28.9±10.0	18.4–39.4	0.388
Education (university, %)	75	18.3	–	39	12.5	–	6	1.7	–	0.531
CHA <sub>2</sub> DS <sub>2</sub> -VASc	76	4.29±1.66	3.91–4.67	39	3.49±1.37	3.04–3.93	6	3.00±2.10	0.80–5.20	0.046
HAS-BLED	76	2.43±1.04	2.20–2.67	39	1.87±0.89	1.58–2.16	6	1.33±1.51	–0.25 to 2.91	0.039
Treatment duration (months)	75	13.0±12.1	10.3–15.8	37	12.0±8.7	9.03–14.9	6	12.2±6.9	4.9–19.5	0.932
Cr (μmol/L)	74	82.2±22.2	77.1–87.4	38	87.0±19.1	80.7–93.3	6	94.6±12.2	81.8–107.4	0.643
ALT (IU/L)	74	21.8±16.6	18.0–25.6	36	23.7±9.7	20.5–27.0	6	21.5±8.9	12.2–30.8	0.848
AST (IU/L)	74	23.4±15.1	19.9–26.9	36	21.8±9.3	18.7–25.0	6	24.3±7.2	16.8–31.9	0.815
ALP (IU/L)	74	72.4±34.3	64.5–80.4	36	63.0±21.4	55.7–70.2	6	70.3±16.7	52.8–87.8	0.474
Rivaroxaban population										
Gender (male, %)	112	43.6	–	37	12.8	–	–	–	–	0.499
Age (years)	112	70.2±10.3	68.2–72.1	37	71.0±11.4	67.2–74.8	–	–	–	0.623
BMI (kg/m²)	111	25.3±3.5	24.6–25.9	37	26.0±4.5	24.5–27.5	–	–	–	0.340
Education (university, %)	106	27.7	–	35	6.4	–	–	–	–	0.164
CHA <sub>2</sub> DS <sub>2</sub> -VASc	106	3.10±1.64	2.79–3.42	35	3.11±1.53	2.59–3.64	–	–	–	0.554
HAS-BLED	106	1.70±1.04	1.50–1.90	35	1.97±0.89	1.67–2.28	–	–	–	0.292
Treatment duration (months)	111	7.7±4.8	6.8–8.6	37	8.9±6.0	6.9–10.8	–	–	–	0.444
Cr (μmol/L)	109	81.4±20.8	77.4–85.3	35	74.8±22.1	67.3–82.4	–	–	–	0.077
ALT (IU/L)	106	22.2±12.6	19.7–24.6	36	23.2±16.8	17.5–28.9	–	–	–	0.342
AST (IU/L)	107	23.2±9.0	21.4–24.9	36	25.1±15.1	20.0–30.2	–	–	–	0.216
ALP (IU/L)	96	70.2±18.9	66.3–74.0	34	75.3±23.2	67.2–83.4	–	–	–	0.714

Associations between adherence groups and baseline patient characteristics were assessed using multivariate logistic regression models in the dabigatran and rivaroxaban sub-cohorts. CHA<sub>2</sub>DS<sub>2</sub>-VASc: congestive heart failure, hypertension, 75 years of age and older, diabetes mellitus, previous stroke or transient ischemic attack, vascular disease, 65 to 74 years of age, female; HAS-BLED: hypertension, abnormal renal/liver function, stroke, bleeding history or predisposition, labile international normalized ratio, elderly, drugs/alcohol concomitantly. CI, confidence interval; BMI, body mass index; Cr, creatinine; ALT, alanine aminotransferase; AST, aspartate transaminase; ALP, alkaline phosphatase; TBIL, total bilirubin; DBIL, direct bilirubin; SD, standard deviation.